# Reportables and Recordables

Presented by:

Craig Hamelund, Oregon OSHA Internal Education



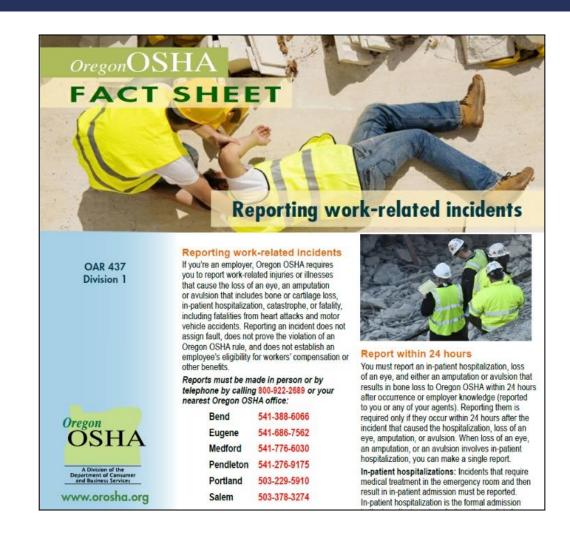
# Reporting fatalities and injuries/illnesses

## Fatalities and catastrophes

- Report within 8 hours
  - Report fatal heart attacks and auto accidents
  - Catastrophe is 3 or more people hospitalized from same event

## In-patient hospitalization

- Report within 24 hours
  - Also report loss of an eye and amputations/avulsions



# OSHA 300 log

## Purpose

Raises awareness

Identifies and corrects hazards

Provides data to measure nation's injuries/illnesses

# Who's covered – employers



Not everyone – there are exceptions!

Who's **not** required to keep logs?

- Employers of 10 or fewer employees
  - Company-wide; peak employment
  - Count all covered employees
- Certain type of industry
  - Regardless of number of employees (see Table 1)

Surveys may also be sent to employers from either BLS or DCBS

Table 1 - Exempt industries

Employers do not need to keep Oregon OSHA injury and illness records for any establishment in the following 2007 North American Industry Classification System (NAICS) codes. Subsequent codes that are added with further revisions of the NAICS codes would apply to this exemption list, unless Oregon OSHA or the Department of Consumer and Business Services asks them in writing to keep these records

NAICS Code	Industry Description	NAICS Code	Industry Description
4412	Other Motor Vehicle Dealers	5172	Wireless Telecommunications Carriers
4431	Electronics and Appliance Stores		(except Satellite)
4461	Health and Personal Care Stores	5173	Telecommunications Resellers
4471	Gasoline Stations	5179	Other Telecommunications
4481	Clothing Stores	5181	Internet Service Providers and Web Search Portals
4482	Shoe Stores	5182	Data Processing, Hosting, and Related
4483	7. 33 3 .		Services
	Stores	5191	Other Information Services
4511	Sporting Goods, Hobby, and Musical Instrument Stores	5211	Monetary Authorities - Central Bank
4512	Book, Periodical, and Music Stores	5221	Depository Credit Intermediation
4531	Florists	5222	Nondepository Credit Intermediation
4532	Office Supplies, Stationary, and Gift Stores	5223	Activities Related to Credit Intermediation
4812	Nonscheduled Air Transportation	5231	Securities and Commodity Contracts Intermediation and Brokerage
4861	Pipeline Transportation of Crude Oil		intermediation and brokerage

Not the complete exempt list

Schools (6111, 6116, 6117) were recently removed from exempt list in Oregon.

This means they're covered in Oregon but not federally.

# Who's covered – employers



## Injuries/illnesses of covered employees:

- Employees on payroll
- Other employees supervised on a day-to-day basis ("daily direct control and supervision")
  - Temps/leased
- Guidance for temporary and leasing agencies in Oregon OSHA P.D. A-246

# **Location of logs**

Must keep a 300 log for each establishment (more than 1 year)

An "establishment" is a single, physical location doing business or offering services or with industrial operations.

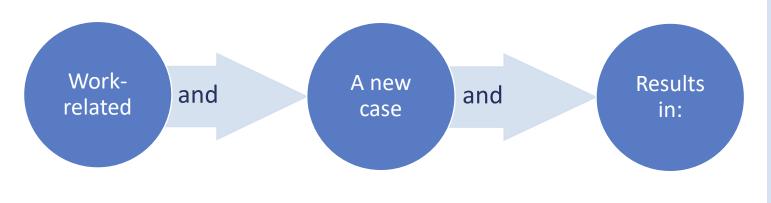
- Short-term establishments (less than 1 year) can be kept on one log or other log (i.e., supervising location)
- Can centralize at headquarters
- Must link employee to establishment



Div 1 OAR 437-001-0700(15) and P.D. A-249 (pp. 10-11; pp. 14-15)

## What is recordable?

Injuries and illnesses must be recorded when it is:



- Death
- Days away from job
- Restricted/job transfer
- Medical treatment
- Loss of consciousness
- Diagnosis of significant injury/illness (when treatment/restrictions not recommended e.g., broken toe, chipped tooth)

This is called "General Recording Criteria" in the rule

## What is recordable — work-related



 Event or exposure in work environment caused or contributed to injury/illness

A "work environment" is an establishment and other locations where employees are working or are present as a condition of their employment.

- Significantly aggravated a pre-existing injury/illness
- There are exceptions (see Table 3)

#### Do not record injuries and illnesses if ...

At the time of the injury or illness, the employee was present in the work environment as a member of the general public rather than as an employee.

The injury or illness involves signs or symptoms that surface at work but result solely from a nonwork-related event or exposure that occurs outside the work environment.

The injury or illness results solely from voluntary participation in a wellness program or in a medical, fitness, or recreational activity such as blood donation, physical examination, flu shot, exercise class, racquetball, or baseball.

The injury or illness is solely the result of an employee eating, drinking, or preparing food or drink for personal consumption (whether bought on the employer's premises or brought in). For example, if the employee is injured by choking on a sandwich while in the employer's establishment, the case is not work-related.

**Note:** If the employee becomes ill by ingesting food contaminated by workplace contaminants (such as lead), or gets food poisoning from food supplied by the employer, the case is work-related.

The injury or illness is solely the result of an employee doing personal tasks (unrelated to their employment) at the establishment outside of the employee's assigned working hours.

The injury or illness is solely the result of personal grooming, self-medication for a nonwork-related condition, or is intentionally self-inflicted.

The injury or illness is caused by a motor vehicle accident and occurs on a company parking lot or company access road while the employee is commuting to or from work.

The illness is the common cold or flu (**Note**: contagious diseases such as tuberculosis, brucellosis, hepatitis A, or plague are work-related if the employee is infected at work).

The illness is a mental illness. Mental illness is not work-related unless the employee voluntarily provides the employer with an opinion from a physician or other licensed health care professional with appropriate training and experience (psychiatrist, psychologist, psychiatric nurse practitioner, etc.) stating that the employee has a work-related mental illness.

## What is recordable — new case

## It qualifies as a new case if:

Employee has never experienced a recordable injury/illness of the same type, affecting the same body part

Employee has experienced a recordable injury/illness but had recovered completely and the event/exposure caused the signs/symptoms to reappear

## What is recordable – resulting condition

## Days away from job:

Don't include day of injury/illness

Count all calendar days employee was unable to work

Cap count at 180 days

May have to estimate count (e.g., extending into a new year)

Stop count if they leave for an unrelated reason

## What is recordable – resulting condition

## Restricted work/job transfer:

Keeps employee from working full workday Keeps from performing one or more routine functions

#### Note:

Not restricted if limited to day of injury/illness

Count same as days away

"Routine functions" are work activities the employee regularly performs at least once per week.

## What is recordable – resulting condition



## Medical treatment:

- Is the "management and care of a patient to combat a disease or disorder?"
- Does **not** include:
  - Doctor observation/counseling
  - Diagnostic procedures
  - First aid
- First-aid treatments (see Table 6)

	Table 6 - First aid treatment							
(A)	Using a nonprescription medication at nonprescription strength (for medications		Drilling of a fingernail or toenail to relieve pressure, or draining fluid from a					

available in both prescription and blister: nonprescription form, a recommendation by a physician or other licensed health care professional to use a nonprescription medication at prescription strength is medical treatment for recordkeeping purposes): Administering tetanus immunizations (I)Using eye patches; (other immunizations, such as Hepatitis B vaccine or rabies vaccine, is medical treatment); Cleaning, flushing or soaking wounds on **(J)** Removing foreign bodies from the eye the surface of the skin: using only irrigation or a cotton swab; Using wound coverings such as (K) Removing splinters or foreign material bandages, Band-Aids™, gauze pads, etc.; from areas other than the eye by or using butterfly bandages or Steriirrigation, tweezers, cotton swabs or Strips™ (other wound closing devices other simple means; such as sutures, staples, etc. are medical treatment): Using hot or cold therapy; (E) (L) Using finger guards; (F) Using any nonrigid means of support, Using massages (physical therapy or such as elastic bandages, wraps, nonrigid chiropractic treatment are medical back belts, etc. (devices with rigid stays treatment for recordkeeping purposes); or other systems designed to immobilize or parts of the body are medical treatment for recordkeeping purposes): Using temporary immobilization devices (N) Drinking fluids for relief of heat stress. while transporting an accident victim (e.g., splints, slings, neck collars, back boards, etc.).

This is a complete list of all first aid treatments for this standard. These treatments are considered first aid regardless of the professional status of the person providing the treatment.

# Additional recording criteria

Loss of consciousness

Regardless of duration

Needlestick/sharps

- Div 1 OAR 437-001-0700(9)
- P.D. A-249 (pp. 9-10; p. 17)

Hearing loss

• Div 1 OAR 437-001-0700(11)

#### **Tuberculosis**

- Div 1 OAR 437-001-0700(12)
- P.D. A-249 (p. 10)

Medical removal cases

- Div 1 OAR 437-001-0700 (10)
- P.D. A-249 (p. 11)

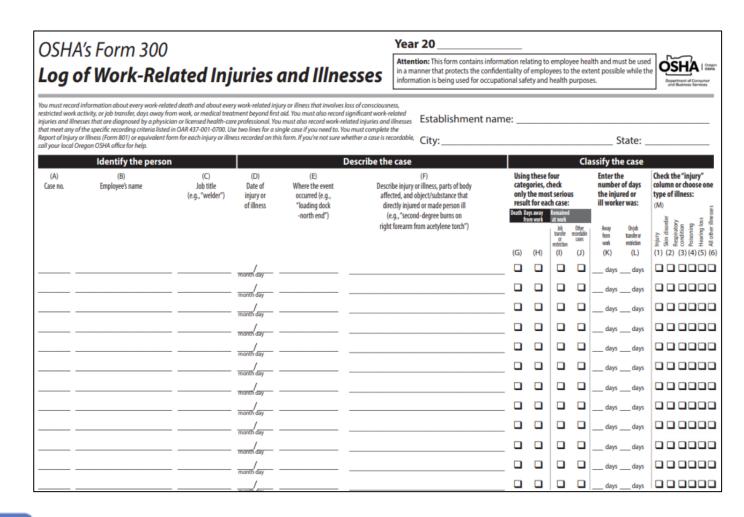
### Medical surveillance requirements in:

- Benzene
- Beryllium
- Cadmium
- Formaldehyde
- Lead

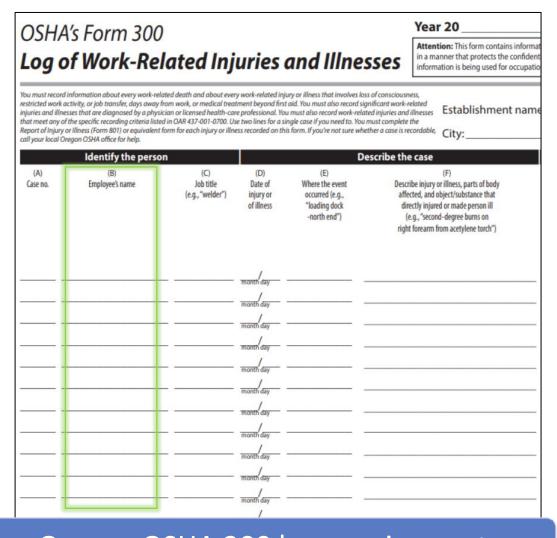
- Methylenedianiline
- Methylene chloride
- Silica
- Vinyl chloride

## Recording on the log

- Must have an 801 (or equivalent) for each entry on the 300 log
- Must enter each recordable case within 7 calendar days
- Can use equivalent forms
- Retain all records for 5 years
  - 300 log must be updated during this time



# Recording on the log



**Privacy cases** 

- Do not enter a name in Column B
  - Use a separate, confidential list
- Examples of privacy cases:
  - Injury to intimate body part
  - Injury to reproductive system
  - Injury from sexual assault
  - Needlestick/sharps, HIV, Hepatitis, TB
  - Mental illness
  - Other illnesses when employee voluntarily requests

Oregon OSHA 300 log requirements Div

Div 1 OAR 437-001-0700(14) and P.D. A-249 (p. 5; p. 12)

## **Providing access to logs**

## Who has access to the logs:

Government representatives (within 4 business hours)

Current and former employees

Authorized and personal representatives

Remove names if providing voluntarily
Div 1 OAR 437-0010700(14)(a)(J)

Access to medical and exposure records in Div 2/Z 29 CFR 1910.1020

# Days away, restricted, or transfer (DART) rate

Total number of recordable injuries or illnesses with days away from work and restricted work

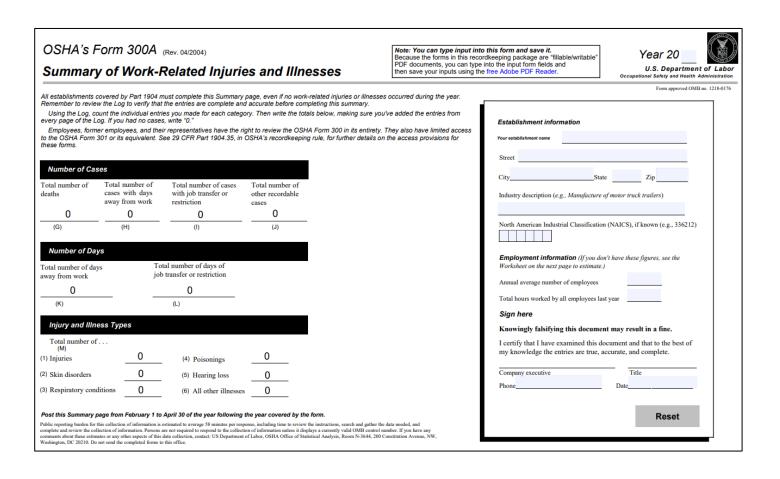




Cases involving days away from work and restricted work incidence rate

## 300A summary

- Summarizes previous year
- Posted Feb. 1 April 30
- Establishment specific
- Must be signed to certify
- Post in noticeable location



# **Electronically submitting forms**

## Three categories:

- Establishments of 250-plus employees must submit 300A
- Establishments of 20-plus, but less than 250 employees and NAICS (see Table 7) must submit 300A
- **NEW:** Establishments of 100-plus and NAICS (see Table 8) must submit 300, 300A, and 801s

## When to submit: March 2

- Must also provide EIN and legal company name
- How to submit: Federal OSHA's website
  - Oregon OSHA's recordkeeping page links to it

These requirements to electronically submit are based on <a href="establishment">establishment</a> size, not company size.

#### Table 7 - Designated Industries

Annual Electronic Submission of OSHA Form 300A Summary of Work-Related Injuries and Illnesses by Establishments With 20 or More Employees but Fewer Than 250 Employees in Designated Industries

ESCORDIST	ments with 20 of more Employees but rewer in	an 230 En	ipioyees in Designated industries
NAICS	Industry	NAICS	Industry
11	Agriculture, forestry, fishing and	4882	Support activities for rail transportation
	hunting	4883	Support activities for water
22	Utilities		transportation
23	Construction	4884	Support activities for road
31-33	Manufacturing		transportation
42	Wholesale trade	4889	Other support activities for transportation
4413	Automotive parts, accessories, and tire stores	4911	Postal service
4421	Furniture stores	4921	Couriers and express delivery services
4422	Home furnishings stores	4922	Local messengers and local delivery
4441	Building material and supplies dealers	4931	Warehousing and storage
4442	Lawn and garden equipment and supplies stores	5152	Cable and other subscription programming
4451	Grocery stores	5311	Lessors of real estate
4452	Specialty food stores	5321	Automotive equipment rental and leasing
4521	Department stores	5322	Consumer goods rental
4529	Other general merchandise stores	5323	General rental centers
4533	Used merchandise stores	5617	Services to buildings and dwellings
4542	Vending machine operators	5621	Waste collection
4543	Direct selling establishments	5622	Waste treatment and disposal
4811	Scheduled air transportation	5629	Remediation and other waste
4841	General freight trucking		management services

#### Table 8 - 24(c) Designated Industries

Table 8

<u>Designated Industries for Annual Electronic Submission of information from OSHA Form 300 Log of Work-Related Injuries and Illnesses and DCBS Form 801 by Establishments With 100 or More Employees in Designated Industries.</u>

Note: If subsection (24)(c) applies then your establishment is also required to electronically submit the OSHA Form 300A summary in accord with either subsection (24)(a) or (24)(b) depending on the size of the establishment.

NAICS	Industry	NAICS	Industry
1111	Oilseed and Grain Farming	1141	Fishing
1112	Vegetable and Melon Farming	1142	Hunting and Trapping
1113	Fruit and Tree Nut Farming	1151	Support Activities for Crop
1114	Greenhouse, Nursery, and Floriculture Production	1152	Production Support Activities for Animal
1119	Other Crop Farming		Production
1121	Cattle Ranching and Farming	1153	Support Activities for Forestry
1122	Hog and Pig Farming	2213	Water, Sewage and Other Systems
1123	Poultry and Egg Production	2381	Foundation, Structure, and
1129	Other Animal Production		<b>Building Exterior Contractors</b>
1133	Logging	3111	Animal Food Manufacturing

\*Not the complete lists. Please refer to rule.

# **Employees reporting injuries/illnesses**



- Must have a reasonable procedure and inform employees how to report
- Inform employees of their right to report without fear of retaliation and that employers are not allowed to discriminate for reporting
- Must be careful with disciplinary programs, incentive programs, and post-incident drug/alcohol testing
- More info in Oregon OSHA fact sheets and at federal OSHA site: <a href="https://www.osha.gov/">https://www.osha.gov/</a> (next slide)
  - Note: Federal OSHA memo dated Oct. 11, 2018

Occupational Safety and Health Administration

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Recordkeeping / Final Rule to Improve Tracking of Workplace Injuries and Illnesses

## Final Rule Issued to Improve Tracking of Workplace Injuries and Illnesses



#### **About**

Read the Rule

Regulatory Text for Recordkeeping Standard - Part 1904 - [Amended]

Corrected Text

Employee Involvement (Employee's right to report injuries and illnesses free from retaliation) (1904.35)

Fact Sheet

Frequently Asked Questions

Blog by Deputy Secretary Chris Lu

Blog by Paul O'Neill

www.osha.gov

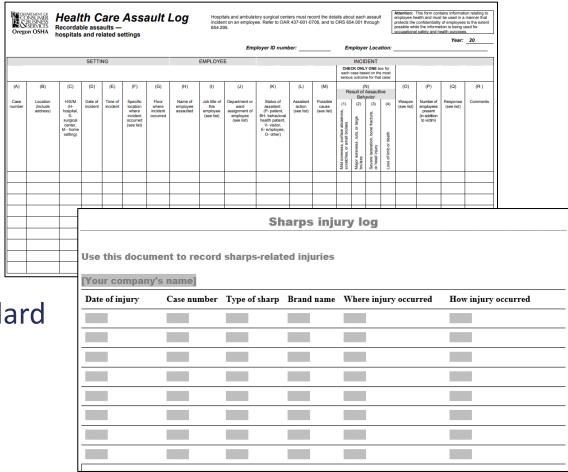
# Other recording requirements

## Healthcare Assault Law/log

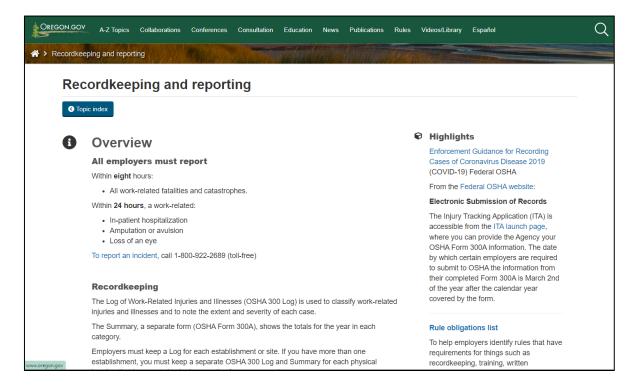
- Only hospitals and surgical centers
  - ORS 654.412-.423
  - Program Directive A-267
  - Div 1 OAR 437-001-0706

## Sharps injury log

- Required by blood-borne pathogen standard
  - Div 2/Z 1910.1030
  - Program Directive A-154
  - Q&A publication



## Resources



More at www.osha.oregon.gov ...

#### WORKER HEALTH AND SAFETY

#### What's inside ...

In this guide, you will find important information needed to complete the OSHA Form 300, and OSHA Form 300A. Information on other required reportable events is also included.

- Overview: Recording work-related injuries and illnesses —
  General instructions for filling out the forms in this guide and
  definitions of terms you should use when you classify your cases
  as injuries or illnesses.
- How to fill out the OSHA 300 Log An example to guide you in properly filling it out.
- OSHA 300 Log of Work-Related Injuries and Illnesses One page of the log; make copies of the log if you need more. We also have fillable forms online: osha.oregon.gov/standards/ recordkeeping.html. Notice that the log is separate from the summary.
- OSHA 300A Summary of Work-Related Injuries and Illnesses A removable summary page for easy posting at the end of the year. Note that you post the summary only, not the log.
- Worksheet to help you fill out the summary A worksheet for figuring the average number of employees who worked for your establishment and the total number of hours worked.
- Other reportable requirements to Oregon OSHA Employers must report certain work-related fatalities, injuries, and illnesses to Oregon OSHA within a certain time period, depending on the event



OSHA Forms for Recording Work-Related Injuries and Illnesses

Take a few minutes to review this guide. If you have questions, visit us online at osha.oregon.gov or call a local Oregon OSHA field office. We will be happy to help you.

## ...and in our recordkeeping packet!



## **Oregon OSHA fact sheets**



or avulsion that includes bone or cartilage loss in-patient hospitalization, catastrophe, or fatality, including fatalities from heart attacks and motor assign fault, does not prove the violation of an

Oregon OSHA rule, and does not establish an employee's eligibility for workers' compensation or Reports must be made in person or by

OSHA

telephone by calling 800-922-2689 or your nearest Oregon OSHA office: 541-686-7562 541-776-6030 Pendleton 541-276-9175

503-229-5910 503,378,3274



You must report an in-patient hospitalization, loss

of an eye, and either an amputation or avulsion that results in bone loss to Oregon OSHA within 24 hours after occurrence or employer knowledge (reported to you or any of your agents). Reporting them is required only if they occur within 24 hours after the incident that caused the hospitalization, loss of an eye, amputation, or avulsion. When loss of an eye, hospitalization, you can make a single report. In-natient hospitalizations: Incidents that require

nedical treatment in the emergency room and then result in in-natient admission must be reported





about your business at the top and a one

or two line description for each recordable

injury or illness

www.orosha.org

If your industry is exempt or your organization never had more than 10 employees at any time during the last calendar year, you are not required to keep the OSHA Form 300 or 300A Summary form for that year. The exemption for size is based on the number of employees in the entire

> You must keep the DCBS Form 801 or equivalent for five years for each occupational injury or illness that may result in a compensable claim.



#### OSHA Injury and Illness Recordkeeping and Reporting Requirements

On July 30, 2018 the Occupational Safety and Health Administration (OSHA) issued a Notice of Proposed Rulemaking (NPRM) to eliminate the requirement to electronically submit information from OSHA Form 300 (Log of Work-Related Injuries and Illnesses), and OSHA Form 301 (Injury and Illness Incident Report) for establishments with 250 or more employees that are currently required to maintain injury and illness records. These establishments would be required to electronically submit information only from OSHA Form 300A (Summary of Work-Related Injuries and Illnesses). In addition, OSHA is proposing to require covered employers to submit their Employer Identification Number (EIN) electronically along with their injury and illness data submission.

#### Recordkeeping Requirements

Many employers with more than 10 employees are required to keep a record of serious work-related injuries and illnesses. (Certain low-risk industries are exempted.) Minor injuries requiring first aid only do not need to be recorded.

- How does OSHA define a recordable injury or illness?
- How does OSHA define first aid?

This information helps employers, workers and OSHA evaluate the safety of a workplace, understand industry hazards, and implement worker protections to reduce and eliminate hazards -preventing future workplace injuries and illnesses.

#### Maintaining and Posting Records

The records must be maintained at the worksite for at least five years. Each February through April, employers must post a summary of the injuries and illnesses recorded the previous year. Also, if requested, copies of the records must be provided to current and former employees, or their representatives.

- Get recordkeeping forms 300, 300A, 301, and additional instructions.
- Read the full OSHA Recordkeeping regulation (29 CFR 1904).

#### Updated Electronic Submission of Records

The Injury Tracking Application (ITA) is accessible from the ITA launch page, where you can provide the Agency your 2017 OSHA Form 300A information. The date by which certain employers are required to submit to OSHA the information from their completed 2017 Form 300A is July 1, 2018.

Learn about OSHA's rule on submitting injury and illness records electronically.



# **Oregon OSHA Update**

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www.osha.oregon.gov

# Website

(www.osha.oregon.gov)



Report a fatality or serious injury

# Get help Report a fatality or injury File a complaint Request a consultation Ask our experts Find closest office





**Employer essentials** 

Workers





# **Oregon OSHA Update**

- Division 1 Changes (SB 592)
  - Comprehensive Inspections
    - Violation caused or contributed to work-related fatality (within 12 mos.)
    - Three repeat violations (within 12 mos.)
    - Three willful violations (within 12 mos.)
    - Non-compliance history



# **Oregon OSHA Update**

- Division 1 Changes (SB 592)
  - Penalties increased for serious, repeat and willful violations
  - NEW Penalties for Serious, Repeat, and Willful Violations when Caused or Contributed to Fatality
  - Annual penalty adjustments must be based on changes in the Consumer Price Index (West Region)

# **Penalty Adjustments**

WORKER HEALTH AND SAFETY

#### Violations and Penalties — Penalty Adjustment Calculations

FOR THIS TYPE OF ADJUSTMENT	OREGON OSHA WILL CONSIDER	BASE PENALTY ADJUSTED AS FOLLOWS
Good faith effort (Applies only to all first instance violations)	<ul> <li>Existence of an overall safety and health program is evident.</li> <li>Safety and health policies are effectively communicated to employees.</li> <li>Efforts put forth before an inspection to promote safety and health in the workplace.</li> <li>Demonstrations of employee involvement in the safety and health program are apparent.</li> <li>Management's commitment and level of involvement to safety and health is apparent.</li> <li>Analysis of worksite hazards conducted.</li> </ul>	<ul> <li>Good: -20% reduction</li> <li>Average: no adjustment</li> <li>Poor: +20% increase</li> </ul>
Size	1-10 employees statewide	-75% reduction
(Applies only to all first instance violations)	• 11-25	<ul> <li>-60% reduction</li> </ul>
	• 26-90	<ul> <li>-40% reduction</li> </ul>
	<ul><li>91-130</li></ul>	<ul> <li>-30% reduction</li> </ul>
	• 131-175	-20% reduction
	• 176-250	-10% reduction
	• 251 or more	No size reduction
History (Applies only to all first instance violations)	<ul> <li>Trends in injuries and illnesses (types of workers' compensation claims) or enforcement history (previous three years).</li> <li>Injury and illness DART rate for company (below statewide average).</li> <li>Mod rate (experience modification rating) is around 1.</li> </ul>	<ul> <li>-10% reduction for positive history</li> <li>No reduction for average history</li> <li>+10% increase for negative history</li> </ul>
Immediate correction (Applies only to all first instance violations)	<ul> <li>Immediate correction (abatement) of violations identified during the inspection, provided that such corrective action is permanent and substantial and not temporary or superficial.</li> </ul>	-10% reduction





# First Instance Violations

#### PENALTY ADJUSTMENT CALCULATIONS | ANNUAL ADJUSTMENTS TO PENALTIES BULLETIN 1-2024

#### Violations and Penalties - First Instance Violations

FIRST INST	ANCE PENALTY TABLE					
Other than	serious-rated violation	n				
Deskabilite.	Severity					
Probability	Other than serious					
Low	\$0					
High	\$300					
Serious-ra	ted violation					
D 1 172	Severity					
Probability	Serious Physical Harm	Death				
Low	\$3,458	\$11,528				
Medium	\$6,916	\$13,833				
High	\$9,222	\$16,138				

EMPLOYER SIZE ADJUSTMENT TABLE					
Number of employees	Adjustment reduction				
1-10	-75%				
11-25	-60%				
26-90	-40%				
91-130	-30%				
131-175	-20%				
176-250	-10%				
251 or more	No size adjustment				

Size adjustments are based on statewide peak employme	mt
and only apply to first instance violations.	

	Penalty in dollars								
Adjustment	Low Serious	Medium serious	High Serious	Low Death	Medium Death	High Death			
30%	\$4,495	\$8,990	\$11,989	\$14,987	\$16,138**	\$16,138**			
20%	\$4,149	\$8,299	\$11,066	\$13,834	\$16,138**	\$16,138**			
10%	\$3,804	\$7,607	\$10,144	\$12,681	\$15,217	\$16,138**			
Initial Penalty	\$3,458	\$6,916	\$9,222	\$11,528	\$13,833	\$16,138			
-10%	\$3,112	\$6,224	\$8,300	\$10,375	\$12,450	\$14,524			
-20%	\$2,766	\$5,533	\$7,378	\$9,223	\$11,067	\$12,910			
-30%	\$2,420	\$4,841	\$6,455	\$8,070	\$9,683	\$11,296			
-40%	\$2,075	\$4,149	\$5,533	\$6,917	\$8,300	\$9,683			
-50%	\$1,729	\$3,458	\$4,611	\$5,764	\$6,917	\$8,069			
-60%	\$1,383	\$2,766	\$3,689	\$4,611	\$5,533	\$6,455			
-70%	\$1,153*	\$2,075	\$2,767	\$3,458	\$4,150	\$4,841			
-75%	\$1,153*	\$1,729	\$2,305	\$2,882	\$3,458	\$4,034			
-80%	\$1,153*	\$1,383	\$1,844	\$2,306	\$2,767	\$3,228			
-85%	\$1,153*	\$1,153*	\$1,383	\$1,729	\$2,075	\$2,421			
-90%	\$1,153*	\$1,153*	\$1,153*	\$1,153*	\$1,383	\$1,614			
-95%	\$1,153*	\$1,153*	\$1,153*	\$1,153*	\$1,153*	\$1,153*			

<sup>\*</sup> The minimum-adjusted penalty for a serious-rated violation is \$1,153.





<sup>\*\*</sup> The maximum-adjusted penalty amount for a serious-rated violation is \$16,138

# Repeat Violations

PENALTY ADJUSTMENT CALCULATIONS [ANNUAL ADJUSTMENTS TO PENALTIES BULLETIN 1-2024

#### Violations and Penalties — Repeat Violations

REPEAT TABL	Ē	
Repeat occurrence	Other than serious	First Instance serious initial penalty
1st repeat	\$11,528	x 4 base penalty
2 <sup>nd</sup> repeat	\$11,528	x 6 base penalty
3 <sup>rd</sup> repeat	\$11,528	x 8 base penalty
Additional repeats	Discretion of	administrator

Repeat violation: An employer's second or subsequent violation involving a substantially similar violation as the earlier violation or violations, cited within the previous three years, will be cited as a repeat violation.

REPEAT 51 0	R GREATER	EMPLOYEES						
Other than s	THE CONTRACT OF THE CONTRACT O	Serious-rate	d violation	n				
	Severity	Severity						
Probability	Other than serious	Probability	Serious Physical Harm			Death		
			First	Second	Third	First	Second	Third
		Low	\$13,831	\$20,747	\$27,663	\$46,113	\$69,169	\$92,226
All		Medium	\$27,663	\$41,494	\$55,326	\$55,334	\$83,001	\$110,668
		High	\$36,888	\$55,332	\$73,776	\$64,551	\$96,826	\$129,101

A civil penalty reduction based on size will be applied to employers with 50 or fewer employees. A reduction of \$7,230 will be applied to civil penalties for repeat violations as shown in the table below.

REPEAT 50 OR FEWER EMPLOYEES									
Other than serious- rated violation Serious-rated violation									
	Severity		Severity						
Probability	Other than serious	Probability	Serious Physical Harm			Death			
			First	Second	Third	First	Second	Third	
	\$11,528*	Low	\$11,528	\$13,517	\$20,433	\$38,883	\$61,940	\$84,996	
All		Medium	\$20,433	\$34,265	\$48,096	\$48,104	\$75,771	\$103,438	
		High	\$29,658	\$48,102	\$66,546	\$57,321	\$89,596	\$121,872	

The minimum-adjusted penalty for any repeat violation is \$11,528.





# Caused or Contributed Violations Willful Violations

PENALTY ADJUSTMENT CALCULATIONS | ANNUAL ADJUSTMENTS TO PENALTIES BULLETIN 1-2024

#### Violations and Penalties — Caused or Contributed to a Work-Related Death and Willful Violations

WILLFUL TABLE					
Other than serious-rated violation					
First	\$11,528				
Additional repeats	Discretion of Administrator				
Serious-rated violation					
	Severity				
Probability	Serious Physical Harm	Death			
Low	\$25,820	\$77,461			
Medium	\$36,148	\$103,281			
High	\$51,641	\$134,265			

Minimum willful penalty of \$11,528

Willful violation: A violation that is committed knowingly by an employer or supervisory employee who, having a free will or choice, intentionally or knowingly disobeys or recklessly disregards the requirements of a statute, regulation, rule, standard, or order.

Other than serious-rated violation  All \$51,641  Serious-rated violation  Probability Severity  Serious Physical Harm Death	Other than				
Serious-rated violation  Probability  Severity	Other than serious-rated violation				
Probability Severity	All				
Probability	Serious-rated violation				
Serious Physical Harm Death	Drobobility				
	Probability				
Low \$67,133 \$175,578					
<b>Medium</b> \$98,117 \$201,396	Low				
<b>High</b> \$129,101 \$253,03					

REPEAT THAT CAUSED OR CONTRIBUTED TO A WORK-RELATED FATALITY				
Other than serious-rated violation				
AII	\$51,641			
Serious-rated violation				
	Severity			
Probability	Serious Physical Harm	Death		
Low	\$56,805	\$165,250		
Medium	\$87,789	\$191,070		
High	\$118,773	\$242,710		

SERIOUS THAT CAUSED OR CONTRIBUTED TO A WORK-RELATED FATALITY				
Severity				
Probability	Serious Physical Harm	Death		
Low	\$20,656	\$30,984		
Medium	\$23,755	\$38,214		
High	\$26,853	\$44,411		

### Caused or contributed to a work-related fatality violation:

The workplace death of an employee that was attributed to a violation or in which the violation was a related factor, as determined by the compliance officer.

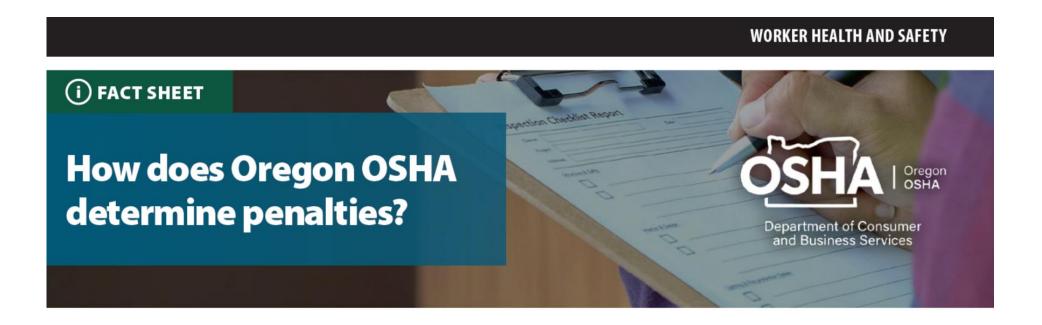
For complete rule requirements, refer to OAR 437-001-0135 through 437-001-0203.

https://osha.oregon. gov/OSHAPubs/563 2-2024.pdf





## **Penalty Resources – Fact Sheet**



On Nov. 1, 2021, Oregon OSHA amended <u>several of its Division 1 workplace safety and health rules</u>, increasing maximum penalties for alleged violations. The changes took effect Dec. 1, 2021. They capped a series of penalty adjustments that began at the federal level when Congress passed the Inflation Adjustment Act in 2015.





## **Penalty Resources – Comments & Decisions**





and Business Services

SUMMARY OF COMMENTS AND AGENCY DECISIONS - Explanation of Rulemaking

Division 1 Updates – Civil penalties, comprehensive inspections, protection for employee refusal of work

Title: Division 1 Civil Penalty and Work Refusal Changes From SB 592 and SB 907

Department of Consumer & Business Services Oregon Occupational Safety & Health Division

Anticipated Administrative Order Number: 3-2023 Anticipated Adopted Date: November 22, 2023 Anticipated Effective Date: January 1, 2024

#### 1. Executive Summary





## Penalty Resources - Annual Adj. (CPI)





Bulletin Number: 1-2024

Published: 11/28/2023 Effective: 1/1/2024

Oregon OSHA's Annual Adjustments to Penalties Bulletin ORS 654.086(3), OAR 437-001-0142

To: All Interested Parties

Summary: This bulletin supersedes the civil penalties adopted by Administrative Order 3-2023 (adopted 11/22/2023 and effective 1/1/2024). As provided for in ORS 654.086(3), Oregon OSHA shall adjust the amount of civil penalties to account for the percentage increase or decrease, if any, in the Consumer Price Index for All Urban Consumers, West Region, All Items (West Region CPI-U), as published by the Bureau of Labor Statistics (BLS) of the United States Department of Labor. On November 14, 2023, the BLS published a 3.281% increase.

#### West Region CPI-U:

BLS reference data location: <a href="https://data.bls.gov/timeseries/CUUR0400SA0">https://data.bls.gov/timeseries/CUUR0400SA0</a>

Date published BLS West Region CPI-U: 11/14/2023





## Penalty Resources - Video Overview

### SB592 Inspections and Penalties

In 2023, the Oregon State Legislature passed Senate Bill 592 that brought about changes to how Oregon OSHA assesses penalties and introduced a new type of inspection. In this video, we'll give a brief overview of these legislative and administrative rule changes.



https://osha.oregon.gov/media /videos-online/Pages/sb592inspections-and-Penalties.aspx



and Business Services

SB592 Inspections and Penalties from Oregon OSHA on Vimeo.

- Division 1 Changes (SB 907)
  - Work Refusal (amends ORS 654)
    - Cannot bar or discriminate against any employee who has, with no reasonable alternative and in good faith, refused to be exposed to serious injury or death
    - A "reasonable person" would agree under the circumstances all of the following are met:
      - requested correction from employer and was unable to obtain
      - . it's imminent danger or a risk of serious physical harm
      - regulatory agencies couldn't help due to the urgency

### Heat Illness Prevention [Div 2/J 437-002-0156]

- indoor or outdoor when heat index equals or exceeds 80 deg. F
  - exemptions
  - shade and water
  - high-heat practices (when heat index > 90)
  - emergency medical plan
  - acclimatization
  - heat illness prevention plan
  - training





### Heat Illness Prevention [Div 2/J 437-002-0156]

(eff. 6/15/2022)

OREGON OCCUPATIONAL SAFETY AND HEALTH DIVISION DEPARTMENT OF CONSUMER AND BUSINESS SERVICES

#### PROGRAM DIRECTIVE

Program Directive A-299 Issued July 11, 2017 Revised June 1, 2022

### **Directive**

Local Emphasis Program (LEP): Preventing Heat Related Illness SUBJECT:

The purpose of this directive is to create a State Local Emphasis Program PURPOSE:

(LEP) for preventing heat related illness in the state of Oregon by

enforcing Oregon OSHA's Heat Illness Prevention Rules.

BACKGROUND: Heat-related illnesses generally occur when body heat generated by

physical work is aggravated by environmental heat and humidity.

Since July of 2017, Oregon OSHA has focused on heat related illness in all inspections from June 15 - October 1 by providing guidance and education to employers in relation to heat related illness. In 2021, a deadly heat event in the Pacific Northwest set record-breaking temperatures in Oregon. On June 28th, Portland International Airport reached 116 F and other parts of the state were even hotter. This extreme



### Heat Illness Prevention [Div 2/J 437-002-0156]







### **Online Training**

powerpoint





### **Protection from Wildfire Smoke**

[Div 2/Z 437-002-1081]

(eff. 7/1/2022)

- where ambient air concentration for fine particulate matter (PM2.5) is at or above 35.5 µg/m3 (AQI of 101 for PM2.5)
  - exemptions
  - exposure monitoring

Appendices too!

- information and training
- two-way communication
- engineering and administrative controls



### **Protection from Wildfire Smoke**

[Div 2/Z 437-002-1081]



Effective July 1, 2022, Oregon OSHA's adopted permanent rules – OAR 437-002-1081 and OAR 437-004-9791, Protection from Wildfire Smoke – will apply to employers whose employees are or will be exposed to unhealthy or hazardous levels of wildfire smoke. With large-scale wildfire events across the western United States becoming more frequent, wildfire smoke is an increasing danger to Oregon workers. The harmful chemicals and tiny particles suspended in wildfire smoke can make anyone sick. The tiny particles of most concern and addressed in these standards is the particulate matter with a diameter in micrometers of 2.5 or less, commonly referred to as PM2.5.

Mild symptoms of wildfire smoke exposure include coughing, runny nose, and eye irritation and inflammation, while more serious and sometimes fatal

- closed except when it is necessary to briefly open doors to enter or exit
- Employers that have predetermined to suspend operations to prevent employee exposure to wildfire smoke levels for PM2.5 at or above 35.5 un/m3 (AOI 101)
- · Employees working at home

The following activities and operations are partially exempt from the standards:

- Wildland firefighting and associated support activities such as fire camp services and fire management.
- Evacuation, rescue, utilities, communications, and medical operations directly involved in or aiding emergency operations or firefighting operations
- Work activities involving only intermittent employee exposure of less than 15 minutes in an hour to



# Online Trainingpowerpoint

DEQ

#### Fact Sheet

### Using the Air Quality Index (AQI) to Assess Wildfire Smoke

#### ntroduction

Oregon Occupational Safety and Health issued emergency rules on Aug. 9, 2021 to protect workers from wildfire smoke. The Oregon OSHA rules apply to employers whose employees are exposed to wildfire smoke while at work, based on concentrations of fine particulate matrix, also called Phi<sub>1</sub>, as shown on the Art Challey Index (AO). The AOI is a tool for successing DEO air quality motiving station station from DEO air quality monitoring station and the oregon DEO air quality monitoring station.

#### Assessing Wildfire Smoke in your area

There are a number of ways employers can assess the level of air pollution from wildfire smoke in their area. These include county-level air quality advisories, state and federal AQI websites and smartphone apps, as well

There are many variables that can impact concentrations of wildfire smoke, including proximity to a fire, local topography, and wind speed and direction. Therefore, there is no standard for how close you need to be to a DEQ monitor to use the information

DEQ operates air quality monitoring sites across Oregon and minimum the state's across Oregon and minimum the state's and some of the state's and assume the monitoring sites provide accurate, reliable and complete date. The data are shown in mar real time on DEE/A ADM (seeking DEE/4 Seepon Air models up for Andraig and Pittum, the Oregon and the state of DEE/ADM (seeking DEE/4 Seepon Air models) app for Andraig and Pittum, the Oregon and the state of DEE/ADM (seeking DEE/4 Seepon Air models) are provided as the state of the Seepon Air models are provided as the state of the Seepon Air models are accelerated as the state of the Seepon Air models are accelerated by the state of the Seepon Air models are accelerated by the state of the Seepon Air models are accelerated by the state of the Seepon Air models are accelerated by the state of the Seepon Air models are accelerated as a second and the state of the Seepon Air models are accelerated as a second as

NowCast shows you air quality for the most current hour, using longer averages during periods of stable air quality and shorter averages when air quality is changing rapidly, such as during a widiffer. The AQI values account for changes in exposure to smoke over time. Please note that the 4QI is in Pacific Standard Time (PST), with no adjustment for

daylight savings. For example, 10 a.m. PST is 11 a.m. during daylight savings time from March to November. Air quality forecasting is extremely challenging.

### **FACT Sheets**



**Manganese** (eff. 9/1/2022)

[Div 2/Q and Div 2/Z, Div 3/Z, Div 4/Z]

- PEL now  $0.1 \text{ mg/m}^3$  Ceiling remains 5 mg/m<sup>3</sup>
- additions to the welding rule (Div 2/Q)
  - confined space
  - definitions
  - other additions throughout





# Recently Revised Excavation Inspections Program Directive (A-176)

#### OREGON OCCUPATIONAL SAFETY AND HEALTH DIVISION DEPARTMENT OF CONSUMER AND BUSINESS SERVICES

#### PROGRAM DIRECTIVE

Program Directive A-176
Issued December 1, 1990
Revised November 1, 2022

SUBJECT: Excavation Standards

AFFECTED STANDARDS/

DIRECTIVES: Division 3, Construction

PURPOSE: This instruction is intended to serve as a standard-specific reference for

Oregon OSHA Compliance Safety and Health Officers (CSHOs) regarding the application of Subdivision P of 29 CFR Part 1926, providing supplemental compliance inspection guidance and citation policies.

SCOPE: This instruction applies to all Oregon OSHA.

REFERENCES:

A. 29 CFR Part 1926 Subdivision P - Excavations.

B. Oregon OSHA Field Inspection Reference Manual (FIRM).

C. Oregon OSHA Technical Manual, Section V, Chapter 2.

D. 29 CFR Part 1926, Safety and Health Regulations for Construction.

# Recently Created Underground Utilities Program Directive (A-303)

#### OREGON OCCUPATIONAL SAFETY AND HEALTH DIVISION DEPARTMENT OF CONSUMER AND BUSINESS SERVICES

#### PROGRAM DIRECTIVE

Program Directive A-303 Issued May 2, 2022 Revised

SUBJECT: Underground Utility Installations: Inspection and Citation Guidance

AFFECTED STANDARDS/ DIRECTIVES:

VES:

Division 1, Rules for all Workplaces, OAR 437-001-0760(1)(b)(C)

Division 3/P, Safety Training & Education, 29 CFR 1926.21(b)(2)

Division 3/P, Specific Excavation Requirements, OAR 437-003-0096(2)

and 29 CFR 1926.651(b)

Division 3/T, Demolition, 29 CFR 1926.850(c), Preparatory Operations

Oregon OSHA Field Inspection Reference Manual (FIRM)

Program Directive A-176, Excavation Standards.

### Recently Created Warehousing/Dist. Centers Program Directive (A-305)

#### OREGON OCCUPATIONAL SAFETY AND HEALTH DIVISION DEPARTMENT OF CONSUMER AND BUSINESS SERVICES

#### PROGRAM DIRECTIVE

Program Directive: A-305
Issued: December 29, 2023

Subject: National Emphasis Program (NEP): Warehousing and Distribution Center

Operations

**References:** 1. Division 1, General Administration

Division 2, Subdivision D, Walking-Working Surfaces

Division 2, Subdivision E, Means of Egress

4. Division 2, Subdivision J, General Environmental Controls

Division 2, Subdivision L, Fire Protection

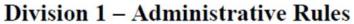
. <u>Division 2, Subdivision N, Material Handling and Storage</u>

Oregon OSHA Field Inspection Reference Manual (FIRM)

### Handout

### Summary of Oregon OSHA Rules and Resources

Oregon OSHA rules are found in the following "Divisions"



Division 2 – General Industry

**Division 3 – Construction** 

Division 4 – Agriculture

Division 5 - Maritime Activities (Fed OSHA jurisdiction)

**Division 7 – Forest Activities** 

### **Division 1 - Administrative Rules**

- Definitions
- Inspection Scheduling
- Citations/Penalties/Corrections
- Appeal Process and Informal Conference
- Posting Requirements
- Complaint Process
- Variances

- Consultation Program
- Employer and Employee Responsibilities
- Rule Addressing COVID-19 Workplace Risks
- Safety Committees
- Grant Program
- Recordkeeping and Reporting
- Insurer/Self-Insured Program

### Handout



350 Winter St. NE, Salem, OR 97309

503-378-3272

osha.oregon.gov

# Engaged, energized, and effective safety committees.

A playbook of ideas, interventions, and ingenuity!

The purpose of safety committees and safety meetings is to bring workers and management together in a non-adversarial, cooperative effort to promote safety and health. Safety committees and safety meetings will help you continually improve your safety and health program.

# Key requirements for safety committees and safety meetings

- Agree on a chairperson.
- Provide training in accident-incident investigations and hazard identification.
- Meet monthly and do quarterly inspections.
- Ensure that centralized safety committees meet the requirements in 437-001-0765(9).
- Keep a record of each meeting for three years that includes:

# Oregon OSHA Resources

- Consultation
- Education
- Technical Services
- Resource Center
- Conferences (of course!)



